

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Group Art Unit 3624

Examiner: Jagdish Patel

Application of:	Lawrence P. Shields et al.
Filed:	February 8, 2001
Serial No.	09/779,114
Title	SYSTEM AND METHOD FOR EXECUTION OF TRADES MADE PURSUANT TO STOCK OPTION AND PURCHASE PLANS

**RESPONSE TO  
RESTRICTION  
REQUIREMENT**

Sir:

In response to the Office Action dated February 2, 2006 (remailing the Office Action dated October 4, 2005 and resetting the date for response), setting a one month term for response expiring March 1, 2006, Applicants are hereby filing this Response:

Claims 1-33 are currently pending in this application. Claims 1, 13-21 and 29-33 are independent.

The Office Action requires an election among Species A-E, with certain sub-species being identified. Applicants hereby elect Species A without traverse, currently reading on claims 1-4, 6, 8, 9, 13, 17 and 20.

An early and favorable action on the merits is respectfully requested.

Applicants do not believe that any extension fee is due with this Response. However, should the U.S. Patent and Trademark Office determine that an extension fee is due, the Commissioner is authorized to consider this paper to include a Petition for Extension of Time and to authorize the extension fee, together with any other fee due for this filing, by charging the

LARGE ENTITY fee therefor to Deposit Account No. 16-2500 of the undersigned.

Applicants' undersigned attorney may be reached by telephone at (212) 969-3314 or by facsimile at (212) 969-2900. Please direct all correspondence to Customer No. 21890 at the address provided below.

Respectfully submitted  
PROSKAUER ROSE LLP  
Attorney for Applicant

Date: February 6, 2006

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Date February 6, 2005 Client-Matter 74622-040  
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**Fax Transmittal**

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Message

Re: Inventor : Lawrence P. SHIELDS, et al.  
Serial No. : 09/779,114  
Filing Date : February 8, 2001  
Title : SYSTEM AND METHOD FOR EXECUTION OF TRADES MADE  
PURSUANT TO STOCK OPTION  
Att'y Docket : 74622-040

Attached please find the following:

- 1) RESPONSE TO THE RESTRICTION REQUIREMENT OF OCTOBER 4, 2005.

Please contact Abigail F. Cousins at (212) 969-3314.

**FACSIMILE TRANSMISSION CERTIFICATE**

I hereby certify that these papers are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Elizabeth Tavaraz

Name of person signing the certification

*Elizabeth Tavaraz*

February 6, 2006

Signature

Date

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